

OSHA Compliance Scorecard

For Dental Practices | Self-Assessment + Scoring Guide

Is Your Practice Actually OSHA Compliant? Take 5 Minutes to Find Out.

What This Scorecard Does

28 yes/no questions across 5 categories. Score yourself and see exactly where your practice stands.

81 – 100%

Well-Positioned

Your systems are strong. A periodic walk-through can verify and document your compliance status.

61 – 80%

Needs Attention

Real gaps exist. A mid-level audit will find them and provide a written action plan.

0 – 60%

High Risk

Significant exposure. A comprehensive audit is strongly recommended to protect your practice.

HOW TO USE THIS SCORECARD

Step 1: Answer each question YES or NO (be honest — this is for your benefit)

Step 2: Score each YES = 1 point, NO = 0 points per category

Step 3: Add your five category scores for your Overall Score

Step 4: Find your score band above and read the recommended next action

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OSHA Standards & Workplace Safety

Bloodborne pathogens, hazard communication, PPE compliance, and written programs

■ *Missing a written Exposure Control Plan is one of the most common — and most costly — OSHA violations in dental offices.*

Q01	Does your practice have a current, written Exposure Control Plan for bloodborne pathogens?	<input type="button" value="YES"/>	<input type="button" value="NO"/>
Q02	Is your Exposure Control Plan reviewed and updated at least annually?	<input type="button" value="YES"/>	<input type="button" value="NO"/>
Q03	Do all clinical staff receive bloodborne pathogen training upon hire and annually thereafter?	<input type="button" value="YES"/>	<input type="button" value="NO"/>
Q04	Does your practice have a written Hazard Communication Program (HazCom/GHS)?	<input type="button" value="YES"/>	<input type="button" value="NO"/>
Q05	Are Safety Data Sheets (SDS) accessible to all employees for every chemical used?	<input type="button" value="YES"/>	<input type="button" value="NO"/>
Q06	Is adequate personal protective equipment (PPE) provided and used consistently by all staff?	<input type="button" value="YES"/>	<input type="button" value="NO"/>

CATEGORY OSHA STANDARDS SCORE:
Count your YES answers for this category and write the total here.

MY SCORE:

Infection Control & Sterilization

Sterilization protocols, surface disinfection, sharps safety, and documentation

■ Spore testing and sterilization documentation are the #1 items cited in dental infection control inspections — verify yours monthly.

- Q01** Are all reusable instruments sterilized using an FDA-cleared autoclave or sterilizer?
- Q02** Is sterilization equipment tested with biological indicators (spore tests) at least weekly?
- Q03** Are sterilization logs maintained and documented for every cycle?
- Q04** Are single-use items (needles, carpules, barriers) disposed of properly and never reused?
- Q05** Is surface disinfection performed between every patient using EPA-registered disinfectants?
- Q06** Does your practice follow current CDC Guidelines for Infection Control in Dental Settings?

CATEGORY INFECTION CONTROL SCORE:

Count your YES answers for this category and write the total here.

MY SCORE:

HIPAA & Privacy Compliance

Patient privacy policies, notices, staff training, and documentation practices

■ *HIPAA violations carry fines of \$100 to \$50,000 per violation. Outdated privacy notices and lack of staff training are the most common gaps.*

Q01	Does your practice have a current, written Notice of Privacy Practices (NPP)?	<input type="checkbox"/> YES	<input type="checkbox"/> NO
Q02	Is the NPP posted visibly in the office and available to patients upon request?	<input type="checkbox"/> YES	<input type="checkbox"/> NO
Q03	Do all staff receive HIPAA training upon hire and at least annually?	<input type="checkbox"/> YES	<input type="checkbox"/> NO
Q04	Is there a designated HIPAA Privacy Officer identified in your practice?	<input type="checkbox"/> YES	<input type="checkbox"/> NO
Q05	Are patient records (paper and electronic) secured and accessible only to authorized staff?	<input type="checkbox"/> YES	<input type="checkbox"/> NO
Q06	Does your practice have a documented process for handling patient data breaches?	<input type="checkbox"/> YES	<input type="checkbox"/> NO

CATEGORY HIPAA SCORE:
Count your YES answers for this category and write the total here.

MY SCORE:

Emergency Preparedness

Emergency protocols, medical emergency kits, team training, and evacuation plans

■ *Expired CPR certifications and unstocked emergency kits are among the most commonly found — and most serious — compliance gaps in dental offices.*

- Q01** Does your practice have a written Medical Emergency Response Protocol?
- Q02** Is a current, stocked emergency drug kit accessible in the clinical area?
- Q03** Is there an AED (Automated External Defibrillator) on-site and regularly inspected?
- Q04** Are all clinical staff current on CPR / Basic Life Support (BLS) certification?
- Q05** Does your team conduct and document emergency drills or training at least annually?
- Q06** Does your practice have a documented fire evacuation plan posted and communicated to staff?

CATEGORY EMERGENCY PREPAREDNESS SCORE: **MY SCORE:**

Count your YES answers for this category and write the total here.

Staff Training & Documentation

Onboarding records, CE tracking, training logs, and ongoing compliance education

■ Documentation is your legal defense. If training happened but was not documented, OSHA treats it as if it never happened.

- Q01** Does your practice maintain written training records for all compliance-related education?
- Q02** Are new employees oriented on all OSHA and HIPAA requirements before patient contact?
- Q03** Are staff CE credits and license renewals tracked and documented by the practice?
- Q04** Does your practice conduct and document an annual compliance review or self-audit?
- Q05** Are all employee exposure incidents or injuries documented per OSHA Recordkeeping standards?
- Q06** Does the entire team understand their individual roles in maintaining practice compliance?

CATEGORY STAFF TRAINING SCORE:

Count your YES answers for this category and write the total here.

MY SCORE:

Your Overall Score & Next Steps

Add your five category scores below to calculate your Overall Compliance Score.

CATEGORY	MAX POINTS	MY SCORE
Category 1 — OSHA Standards & Workplace Safety	6	Score: <input type="text"/>
Category 2 — Infection Control & Sterilization	6	Score: <input type="text"/>
Category 3 — HIPAA & Privacy Compliance	6	Score: <input type="text"/>
Category 4 — Emergency Preparedness	6	Score: <input type="text"/>
Category 5 — Staff Training & Documentation	6	Score: <input type="text"/>
TOTAL SCORE	28	TOTAL: <input type="text"/>

What Your Score Means

23–28 Points (81–100%)

→ **Well-Positioned**

Your practice has strong compliance foundations. A periodic compliance walk-through

17–22 Points (61–80%)

→ **Needs Attention**

You have meaningful gaps that create real risk. A mid-level audit will surface the

0–16 Points (0–60%)

→ **High Risk — Act Now**

Your practice has significant compliance exposure. A comprehensive audit with full

Ready to Close the Gaps?

Mandy Walters, RDH offers three tiers of compliance audit packages designed to meet your practice exactly where it is. From a quick walk-through to a comprehensive audit with full-team CE credits — real support, real documentation, real results.

[View OSHA Audit Packages](#) → [MandyWalters.com](#)

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